# CLAIMS

What is claimed is:

- 1 1. An equalizer comprising:
- 2 a feedforward filter adapted to receive a first input
- 3 signal and provide a first output signal;
- 4 an adaptive coefficient generator adapted to receive the
- 5 first input signal and a second signal and provide tap
- 6 coefficients to the feedforward filter;
- 7 a slicer adapted to receive a slicer input signal and
- 8 provide a slicer output signal;
- 9 a slicer timing alignment block adapted to receive the
- 10 slicer input signal and provide a second output signal, wherein
- 11 the slicer output signal is subtracted from the second output
- 12 signal to generate an error signal;
- a tap timing alignment block adapted to receive the slicer
- 14 output signal and provide a third output signal;
- 15 a first low pass filter adapted to receive the third output
- 16 signal and the error signal and provide a fourth output signal,
- 17 wherein the fourth output signal is multiplied with the third
- 18 output signal to provide a feedback signal which is added to the
- 19 first output signal to generate the slicer input signal; and
- 20 a second low pass filter adapted to receive the error
- 21 signal and provide a mean square error signal.
- 1 2. The equalizer of Claim 1, further comprising a
- 2 register block adapted to receive the mean square error signal
- 3 from the second low pass filter and the tap coefficients from
- 4 the adaptive coefficient generator.

- 1 3. The equalizer of Claim 1, wherein a bandwidth estimate
- 2 is obtained for a communication channel based on correlation
- 3 coefficient values determined when the slicer output signal is
- 4 open-circuited and fixed values are provided for the tap
- 5 coefficients.
- 1 4. The equalizer of Claim 1, wherein a channel
- 2 identification estimation is obtained for a communication
- 3 channel based on subtracting a second input signal (r(t)\*h(t))
- 4 from the slicer input signal, where r(t) is a random signal,
- 5 h(t) is an unknown impulse response for the communication
- 6 channel, and the first input signal is statistically equivalent
- 7 to r(t), and determining the tap coefficients corresponding to a
- 8 least mean square optimal set.
- The equalizer of Claim 4, wherein the slicer output
- 2 signal is open-circuited.
- 1 6. The equalizer of Claim 1, wherein an optical signal-
- 2 to-noise ratio estimation is obtained for a communication
- 3 channel based on the mean square error signal, the tap
- 4 coefficients, and the slicer input signal or based on the tap
- 5 coefficients.
- 1 7. The equalizer of Claim 1, wherein a bit error rate
- 2 estimation is obtained for a communication channel based on the
- 3 mean square error signal and the slicer input signal.
- 1 8. The equalizer of Claim 1, wherein a chromatic
- 2 dispersion estimate is obtained by estimating a bandwidth roll-
- 3 off and utilizing look-up table values.

- 1 9. The equalizer of Claim 1, wherein a chromatic
- 2 dispersion estimate is obtained by computing a spectral response
- 3 of the feedforward filter and determining a weighted average of
- 4 a group delay across the frequencies to estimate a group delay
- 5 variation as a measure of the chromatic dispersion.
- 1 10. The equalizer of Claim 1, wherein a polarization mode
- 2 dispersion estimate is obtained by determining a frequency at
- 3 which a spectral response is minimal from an estimated power
- 4 spectral density.
- 1 11. The equalizer of Claim 1, wherein the equalizer is a
- 2 fractionally-spaced linear equalizer which provides a continuous
- 3 time adaptation for a communication channel.
- 1 12. The equalizer of Claim 1, wherein the adaptive
- 2 coefficient generator time-aligns the error signal with the
- 3 first input signal.
- 1 13. The equalizer of Claim 1, wherein the slicer timing
- 2 alignment block time-aligns the slicer input signal with the
- 3 slicer output signal.
- 1 14. The equalizer of Claim 1, wherein the tap timing
- 2 alignment block time-aligns the slicer output signal with a
- 3 symbol period.
- 1 15. The equalizer of Claim 1, wherein the second signal
- 2 comprises the error signal or the tap coefficients.

- 1 16. The equalizer of Claim 1, wherein the second signal
- 2 comprises the error signal.
- 1 17. An equalizer comprising:
- 2 means for receiving a first input signal and providing an
- 3 equalized output signal;
- 4 means for receiving the first input signal and providing
- 5 tap coefficients to the means for providing the equalized output
- 6 signal;
- 7 a slicer adapted to receive a slicer input signal and
- 8 provide a slicer output signal;
- 9 means for generating an error signal based on the slicer
- 10 output signal;
- 11 means for generating a feedback signal, which is summed
- 12 with the equalized output signal to generate the slicer input
- 13 signal; and
- means for generating a mean square error signal based on
- 15 the error signal.
- 1 18. The equalizer of Claim 17, further comprising means
- 2 for storing the tap coefficients and the mean square error
- 3 signal.
- 1 19. The equalizer of Claim 17, wherein the equalizer is
- 2 employed to determine at least one of a bandwidth estimate, a
- 3 channel identification estimate, a signal-to-noise ratio
- 4 estimate, a chromatic dispersion estimate, and a polarization
- 5 mode dispersion estimate for a communication channel associated
- 6 with the equalizer.

- 1 20. The equalizer of Claim 17, wherein the equalizer is a
- 2 fractionally-spaced transversal filter with decision feedback
- 3 and least mean square-based adaptation to provide a continuous
- 4 time adaptation for a communication channel.
- 1 21. A method for providing a bandwidth estimate for a
- 2 communication channel using an equalizer, the method comprising:
- 3 switching off a slicer of the equalizer;
- 4 setting tap coefficients of a feedforward filter of the
- 5 equalizer to fixed values; and
- 6 calculating correlation coefficient values.
- 1 22. The method of Claim 21, wherein the correlation
- 2 coefficient values are calculated based on the following
- 3 equation,  $\widetilde{c}_i \approx E(p(t) \cdot p(t-i-\tau')), 0 \le i \le N$ ,
- 4 where N is the number of tap coefficients, E is the
- 5 expected value operator, and p is an input signal received by
- 6 the feedforward filter having eight multipliers.
- 1 23. The method of Claim 21, further comprising:
- 2 changing one or more of the values for the tap
- 3 coefficients; and
- 4 calculating a set of correlation coefficient values.
- 1 24. The method of Claim 23, further comprising calculating
- 2 a power spectral density based on the set of correlation
- 3 coefficient values.

- 1 25. The method of Claim 24, wherein the power spectral
- 2 density calculation utilizes a windowing function.
- 1 26. The method of Claim 24, wherein the power spectral
- 2 density calculation utilizes a windowing function.
- 1 27. The method of Claim 21, wherein the set of correlation
- 2 coefficient values are calculated based on the following
- 3 equation,  $\widetilde{c}_{i,j} \approx E(p(t-j\cdot\tau)\cdot p(t-i\cdot\tau')), \ 0 \le i \le N, \ 0 \le j \le N$ , where N is the
- 4 number of tap coefficients.
- 1 28. The method of Claim 21, further comprising changing
- 2 timing control ratios of the equalizer to calculate further sets
- 3 of correlation coefficient values.
- 1 29. A method for providing a channel identification
- 2 estimate for a communication channel using an equalizer, the
- 3 method comprising:
- 4 receiving a first input signal by a feedforward filter of
- 5 the equalizer, wherein the feedforward filter provides a first
- 6 output signal;
- 7 receiving a second input signal denoted as r(t)\*h(t), where
- 8 h(t) represents an unknown channel impulse response for the
- 9 communication channel and r(t) represents a random signal;
- 10 subtracting the second input signal from the first output
- 11 signal to provide a difference signal; and
- 12 determining adaptively a set of tap coefficients for the
- 13 equalizer that minimizes the energy of the difference signal
- 14 within the equalizer.

- 1 30. The method of Claim 29, wherein r(t) is approximately
- 2 statistically equivalent to the first input signal.
- 1 31. The method of Claim 30, wherein r(t) is generated
- 2 using a pseudo-random binary sequence or additive white Gaussian
- 3 noise.
- 1 32. The method of Claim 29, wherein the set of tap
- 2 coefficients are from the feedforward filter and decision
- 3 feedback circuits of the equalizer.
- 1 33. The method of Claim 29, wherein the set of tap
- 2 coefficients correspond to a least mean square set of optimal
- 3 tap coefficients that regenerate the unknown channel.
- 1 34. A method for providing an optical signal-to-noise
- 2 ratio estimate for a communication channel using an equalizer,
- 3 the method comprising:
- 4 calculating an unbiased electrical signal-to-noise ratio
- 5 based on an input signal to a slicer of the equalizer and a mean
- 6 square error signal generated by the equalizer;
- 7 calculating an electrical signal-to-noise ratio based on
- 8 the unbiased electrical signal-to-noise ratio and tap
- 9 coefficients of a feedforward filter of the equalizer; and
- 10 calculating the optical signal-to-noise ratio based on the
- 11 electrical signal-to-noise ratio.
- 1 35. The method of Claim 34, wherein the optical signal-to-
- 2 noise ratio is the square root of the electrical signal-to-noise
- 3 ratio.

- 1 36. A method for providing a bit error rate estimate for a
- 2 communication channel using an equalizer, the method comprising:
- 3 calculating an unbiased electrical signal-to-noise ratio
- 4 based on an input signal to a slicer of the equalizer and a mean
- 5 square error signal generated by the equalizer; and
- 6 calculating the bit error rate based on the unbiased
- 7 electrical signal-to-noise ratio.
- 1 37. The method of Claim 34, wherein the bit error rate is
- 2 calculated using the following equation,  $BER = Q(0.5 \cdot \alpha \cdot \sqrt{SNR_{e,u}})$
- 3 where  $\alpha$  is a constant.
- 1 38. A method for providing an optical signal-to-noise
- 2 ratio estimate for a communication channel using an equalizer,
- 3 the method comprising:
- 4 calculating an electrical signal-to-noise ratio based on
- 5 tap coefficients of the equalizer; and
- 6 calculating the optical signal-to-noise ratio based on the
- 7 electrical signal-to-noise ratio.
- 1 39. The method of Claim 38, wherein the electrical signal-
- 2 to-noise ratio is calculated using the following equation,

$$SNR_c = \frac{(\sum_{i=0}^N c_i^2)}{\frac{1}{\sum_{i=0}^N c_i + f - 1} - 1}, \text{ where } f \text{ is the frequency and } N \text{ is the}$$

4 number of tap coefficients.

- 1 40. A method for providing a chromatic dispersion estimate
- 2 for a communication channel using an equalizer, the method
- 3 comprising:
- 4 determining a bandwidth roll-off within the communication
- 5 channel; and
- 6 estimating the chromatic dispersion by utilizing a look-up
- 7 table and the results of the bandwidth roll-off determination.
- 1 41. A method for providing a chromatic dispersion estimate
- 2 for a communication channel using an equalizer, the method
- 3 comprising:
- 4 calculating a spectral response of a feedforward filter of
- 5 the equalizer;
- 6 determining a group delay at discrete frequencies for a
- 7 frequency spectrum; and
- 8 determining a weighted average of the group delays to
- 9 estimate a group delay variation as a measure of the chromatic
- 10 dispersion.
- 1 42. The method of Claim 41, wherein the spectral response
- 2 is determined from the following equation,  $P(\omega) = \sum_{i=0}^{N} c_i \cdot e^{j \cdot i \cdot \omega \cdot \tau}$  , where
- 3 N is the number of tap coefficients.
- 1 43. The method of Claim 41, wherein the determining of the
- 2 group delay includes a low end and a high end of the frequency
- 3 spectrum.

- 1 44. A method for providing a polarization mode dispersion
- 2 estimate for a communication channel using an equalizer, the
- 3 method comprising:
- 4 determining a frequency  $f_0$  at which a spectral response is
- 5 minimal; and
- 6 calculating the polarization mode dispersion based on the
- 7 frequency  $f_0$ .
- 1 45. The method of Claim 44, wherein the polarization mode
- 2 dispersion is calculated using the following equation,
- $\tau_{pmd} = \frac{1}{2 \cdot f_0} \quad .$